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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of:

)

Leon A. Pintsov et al.

) Attorney Docket No.: F-259

Serial No.: 09/928,292

) Group Art Unit: 2161

Filed: August 10, 2001

) Date: October 1, 2001

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For: METHOD AND APPARATUS FOR TRACKING A SPECIAL SERVICE  
DELIVERY OF A MAIL ITEM CREATED BY AN OFFICE WORKER

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

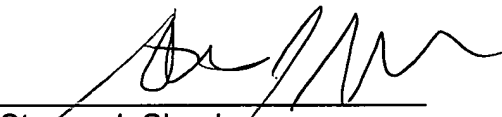
While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of each of the items on PTO-1449 (Modified) is supplied herewith.

Respectfully submitted,

  
\_\_\_\_\_  
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Reg. No. 35,677  
Attorney of Record  
Telephone (203) 924-3880

PITNEY BOWES INC.  
Intellectual Property and  
Technology Law Department  
35 Waterview Drive  
P.O. Box 3000  
Shelton, CT 06484-8000

Plus attachments: Form PTO-1449 (Modified)  
One copy of each of the references

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

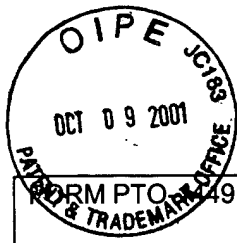
Assistant Commissioner for Patents  
Washington, D.C. 20231

On October 1, 2001  
Date of Deposit

  
\_\_\_\_\_  
Signature

Steven J. Shapiro  
Name of Registered Rep.

October 1, 2001  
Date



FORM PTO 449 (Modified)

Atty. Docket Number  
F-259

Serial No.  
09/928,292

**INFORMATION DISCLOSURE  
STATEMENT**

**BY APPLICANT (Continued on page 4)**

Applicant  
Leon A. Pintsov et al.

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August 10, 2001

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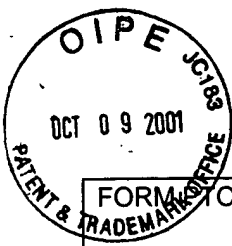
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**U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE     | NAME           | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|----|--------------------|----------|----------------|-------|----------|-------------------------------|
|                     | AA | 4,106,060          | 8/8/78   | Chapman, Jr.   | 358   | 256      | 12/15/75                      |
|                     | AB | 5,008,827          | 4/16/91  | Sansone et al. | 364   | 464.02   | 12/16/88                      |
|                     | AC | 5,058,008          | 10/15/91 | Schumacher     | 364   | 401      | 10/3/89                       |
|                     | AD | 5,388,049          | 2/7/95   | Sansone et al. | 364   | 464.02   | 8/11/93                       |
|                     | AE | 5,612,884          | 3/18/97  | Haines         | 364   | 464.13   | 10/4/94                       |
|                     | AF | 5,612,889          | 3/18/97  | Pintsov et al. | 364   | 478.14   | 10/4/94                       |
|                     | AG | 5,648,916          | 7/15/97  | Manduley       | 364   | 514 A    | 8/10/95                       |
|                     | AH | 5,699,258          | 12/16/97 | Thiel          | 364   | 464.19   | 6/7/95                        |
|                     | AI | 5,712,712          | 1/27/98  | Sayward        | 358   | 403      | 6/1/95                        |
|                     | AJ | 5,726,894          | 3/10/98  | Sansone        | 364   | 464.18   | 12/21/95                      |
|                     | AK | 5,737,729          | 4/7/98   | Denman         | 705   | 401      | 6/4/96                        |
|                     | AL | 5,768,132          | 6/16/98  | Cordery et al. | 364   | 464.2    | 6/17/96                       |
|                     | AM | 5,794,789          | 8/18/98  | Payson et al.  | 209   | 549      | 12/13/95                      |
|                     | AN | 5,805,810          | 9/8/1998 | Maxwell        | 395   | 200.36   | 4/27/95                       |
|                     | AO | 5,826,034          | 10/20/98 | Albal          | 395   | 200.69   | 8/9/96                        |
|                     | AP | 5,859,967          | 1/12/99  | Kaufeld et al. | 395   | 186      | 7/9/96                        |
|                     | AQ | 5,875,302          | 2/23/99  | Obhan          | 395   | 200.55   | 5/6/97                        |
|                     | AR | 5,892,909          | 4/6/99   | Grasso et al.  | 395   | 200.31   | 1/31/97                       |
|                     | AS | 5,918,220          | 6/29/99  | Sansone et al. | 705   | 408      | 12/24/96                      |
|                     | AT | 5,936,865          | 8/10/99  | Pintsov et al. | 364   | 478.14   | 9/16/96                       |
|                     | AU | 5,978,781          | 11/2/99  | Sansone        | 705   | 408      | 5/8/97                        |
|                     | AV | 6,018,774          | 6/25/00  | Mayle et al.   | 709   | 250      | 7/3/97                        |
|                     | AW | 6,038,601          | 3/14/00  | Lambert et al. | 709   | 226      | 7/21/97                       |
|                     | AX | 6,047,264          | 4/4/00   | Fisher et al.  | 705   | 26       | 10/8/96                       |



FORM O-1449 (Modified)

Atty. Docket Number  
F-259

Serial No.  
09/928,292

**INFORMATION DISCLOSURE  
STATEMENT  
BY APPLICANT (Continued from page 3)**

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| EXAMINER<br>INITIAL |     | DOCUMENT<br>NUMBER | DATE    | NAME          | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|-----|--------------------|---------|---------------|-------|----------|-------------------------------|
|                     | AY  | 6,047,273          | 4/4/00  | Vaghi         | 705   | 410      | 8/4/98                        |
|                     | AZ  | 6,052,671          | 4/18/00 | Crooks et al. | 705   | 34       | 4/6/99                        |
|                     | AZ1 | 6,072,862          | 6/6/00  | Srinivasan    | 379   | 100.08   | 7/2/96                        |
|                     | AZ2 | 6,216,127B1        | 4/10/01 | Gans et al.   | 707   | 10       | 9/16/98                       |
|                     | AZ3 | 6,229,884B1        | 5/8/01  | Toyoda et al. | 379   | 100.08   | 12/14/98                      |
|                     | AZ4 | 6,233,317B1        | 5/15/01 | Homan et al.  | 379   | 88.05    | 12/11/97                      |
|                     | AZ5 | 6,233,318B1        | 5/15/01 | Picard et al. | 379   | 88.17    | 11/5/96                       |
|                     |     |                    |         |               |       |          |                               |

**FOREIGN PATENT OR PUBLISHED PATENT APPLICATION DOCUMENTS**

|  |    | DOCUMENT<br>NUMBER | DATE            | COUNTRY<br>OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION |
|--|----|--------------------|-----------------|-----------------------------|-------|----------|-------------|
|  | AL | WO<br>99/21330     | Apr 29,<br>1999 | PCT                         | H04L  | 12/58    | No          |
|  | AM |                    |                 |                             |       |          |             |
|  | AN |                    |                 |                             |       |          |             |
|  | AO |                    |                 |                             |       |          |             |
|  | AP |                    |                 |                             |       |          |             |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

|  |    |  |
|--|----|--|
|  | AR |  |
|  | AS |  |
|  | AT |  |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.